

## WAVE WEALTH PLANNING, LLC (“WWP”) PRIVACY POLICY

WWP recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. WWP will not disclose your personal information to anyone unless required by law or at your direction. We will not sell your personal information. WWP will provide this privacy statement to all clients annually.

### Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

### What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity (your name, address, social security number, date of birth, and financial information).
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

### What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, for us to provide planning or investment services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm, planning software, independent contractors hired by WWP, etc.

Otherwise, WWP will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with WWP will be subject to confidentiality agreements.

If we discontinue our work together, our Privacy Policy will continue to apply to you, and we will continue to treat your nonpublic personal information with strict confidentiality. We maintain all records regarding all former clients for the retention period required by law.

### How We Protect Your Personal Information

Privacy is important to WWP. We restrict and limit access to client information only to those who need to carry out their business functions. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information.

Any questions regarding privacy policies and procedures? Contact Blake at 615-724-0058.